

2166 2766

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No. #6
CCF-001PAT 11-12-02 JM

In Re Application Of:

Neil R. Fraser

Serial No.
09/590,329

OTPE
NOV 04 2002
PATENT & TRADEMARK OFFICE
Filing Date
June 8, 2000

Examiner

Group Art Unit
2766

Title: **METHOD AND SYSTEM FOR SECURELY DISPLAYING AND CONFIRMING REQUEST TO PERFORM OPERATION ON HOST COMPUTER**

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Address to:

Assistant Commissioner for Patents
Washington, D.C. 20231

Technology Center 2100

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

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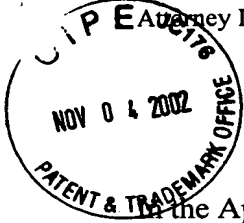
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GROUP 3600

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))			Docket No. CCF-001PAT	
In Re Application: Neil R. Fraser				
Serial No. 09/590,329	Filing Date June 8, 2000	Examiner	Group Art Unit 2766	
METHOD AND SYSTEM FOR SECURELY DISPLAYING AND CONFIRMING REQUEST TO OPERATION ON HOST COMPUTER			RECEIVED NOV 06 2002 Technology Center 2600	
Payment of Fee (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))				
<input type="checkbox"/> A check in the amount of _____ is attached.				
<input type="checkbox"/> The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. _____ as described below. A duplicate copy of this sheet is enclosed.				
<input type="checkbox"/> Charge the amount of _____				
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I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F				
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I certify that this document and fee is being deposited November 1, 2002 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.				
_____ Signature of Person Mailing Correspondence				
Eric W. Gutttag				
_____ Typed or Printed Name of Person Mailing Certificate				
*This certificate may only be used if paying by deposit account.				
_____ Signature				
Eric W. Gutttag Attorney for Applicant Registration No. 28,853 (513)-229-0383 Customer No. 26868				
Dated: November 1, 2002				
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cc:				

Serial No. 09/590,329

Attorney Docket No. CCF-001PAT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re: the Application of

Neil R. Fraser

Serial No.: 09/590,329

Filed: June 8, 2000

METHOD AND SYSTEM FOR SECURELY :

DISPLAYING AND CONFIRMING :

REQUEST TO PERFORM OPERATION ON :

HOST COMPUTER :

:

:

: Group: 2766

: Examiner

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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT PURSUANT TO
37 CFR 1.56, 1.97 AND 1.98 (WITHIN 3 MONTHS OF FILING OR PRIOR TO FIRST
OFFICE ACTION)**

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

In accordance with 37 CFR 1.56, 1.97 and 1.98, Applicant requests the Examiner to make of record the documents listed on the attached PTO-1449 forms. Copies of the listed documents not previously cited in the prior Information Disclosure Statement are also enclosed. In accordance with MPEP 609, the Examiner is requested to initial each document listed on the attached PTO-1449 forms in the space provided, make a copy of the initialed forms, and return an initialed copy to Applicant's attorney. This paper and the enclosed documents are being submitted in accordance with 37 CFR 1.97(b), i.e., within three (3) months of the filing dated of the above-identified application or prior to a first Office Action on the merits.

The documents listed on the attached PTO-1449 form for which copies are now provided came to Applicant's attorney's attention as a result of an International Search Report (copy enclosed) for the PCT application corresponding to the above application. U.S. Patent 6,430,407 B1 listed on the attached PTO-1449 form is indicated in this Search report as being in the same patent family as PCT Document 99/44114 that is also listed on the attached PTO-1449 form.

Serial No. 09/590,329
Attorney Docket No. CCF-001PAT



Respectfully submitted,
For: Neil R. Fraser

By *Eric W. Guttag*
Eric W. Guttag
Attorney for Applicant
Registration No. 28,853
(513) 229-0383
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November 1, 2002
Mason, Ohio

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CERTIFICATE OF MAILING PURSUANT TO 37 CFR 1.8

I hereby certify that this correspondence is being deposited on November 1, 2002 with the United States Postal Service as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Eric W. Guttag
Eric W. Guttag
Registration No. 28,853

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